

Participant Services Circular

Circular No.: NSDL/POLICY/2022/177 December 30, 2022

Subject: SEBI issued a Consultation Paper on Strengthening the Investor Grievance Redressal Mechanism in the Indian Securities Market by harnessing Online Dispute Resolution mechanisms

All Participants are hereby informed that 'Consultation paper on Strengthening the Investor Grievance Redressal Mechanism in the Indian Securities Market by harnessing Online Dispute Resolution mechanisms' is published on the SEBI website. (The link for the same is given below:

https://www.sebi.gov.in/reports-and-statistics/reports/dec-2022/consultation-paper-on-strengthening-the-investor-grievance-redressal-mechanism-in-the-indian-securities-market-by-harnessing-online-dispute-resolution-mechanisms_66361.html)

The consultation paper seeks inputs on:

- a) Strengthening the existing MIIs administered mediation/conciliation and arbitration mechanism, by making these processes online so as to make it more accessible for investors;
- b) To extend the MIIs administered mediation/conciliation and arbitration mechanism for resolution of investor/client grievances against all specified securities market intermediaries.

Public comments/suggestions are invited and the same may be provided as per the format specified in the aforesaid consultation paper latest by **January 9, 2023** through the following modes:

- a. By email to: ia_ho@sebi.gov.in (or)
- b. By post to the following address:

Mr Manjesh Roy, GM / Mr Rohan Singh Meena, AGM Office of Investor Assistance and Education Securities and Exchange Board of India, SEBI Bhavan II, C-7, G-Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051

Participants are advised to disseminate the consultation paper to its clients suitably. Participants and clients may submit comments on the consultation paper to SEBI at the above email ID/address

For and on behalf of

National Securities Depository Limited

Ashish Mishra Senior Manager





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FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015

